

# Machine-learned interatomic potential models for practical applications

Tim Mueller  
Johns Hopkins University

Funded by the Toyota Motor Corporation and the Office of Naval Research

# Contributors



Chuhong Wang



Adarsh Balasubramanian

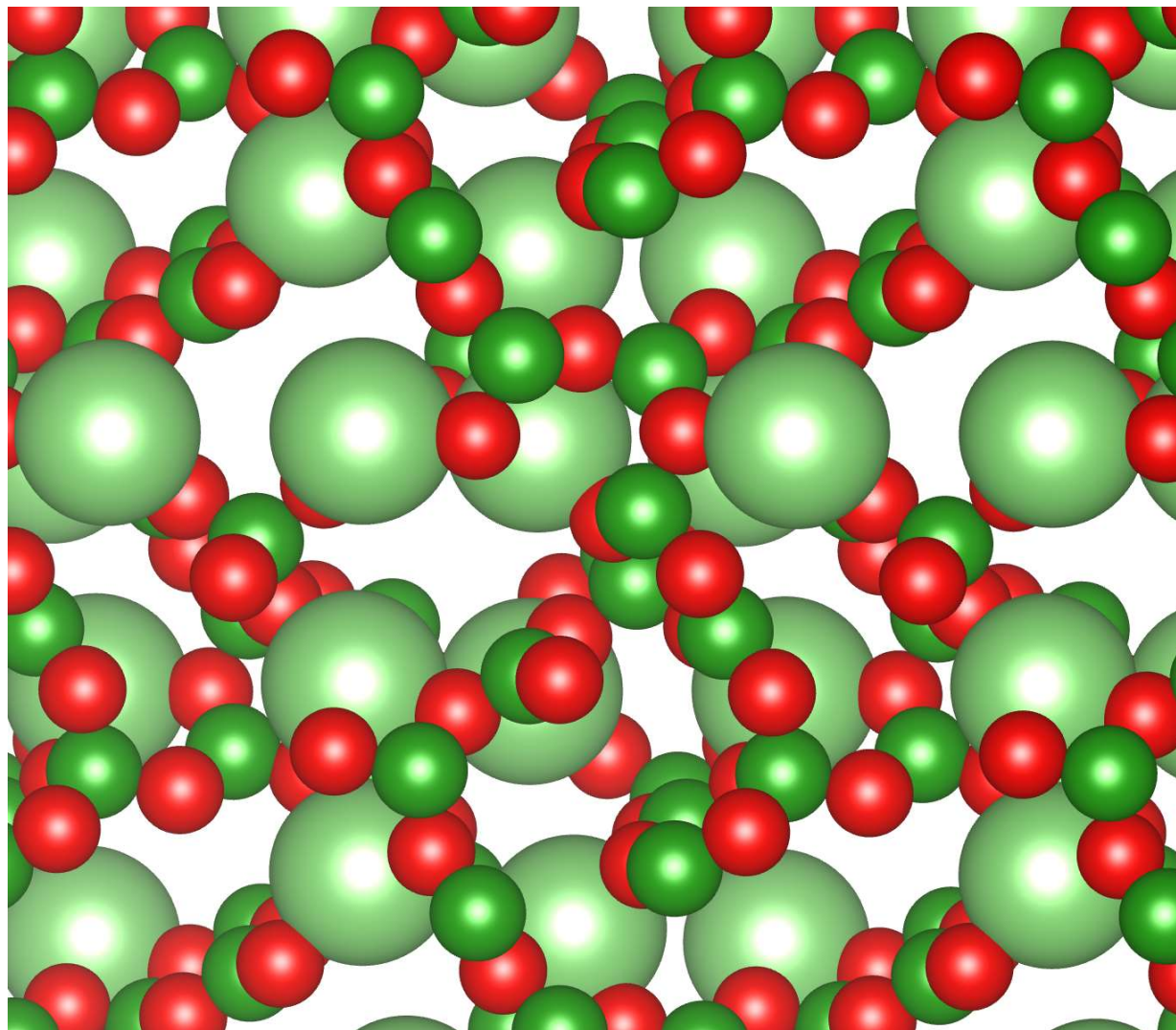


Alberto Hernandez

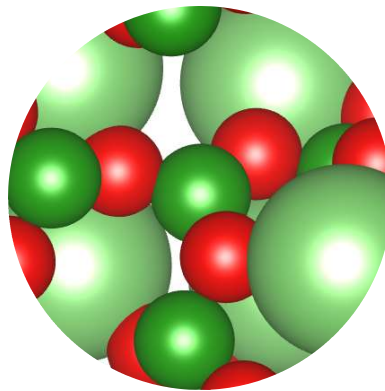


Simon Mason

# Machine-learned interatomic potentials

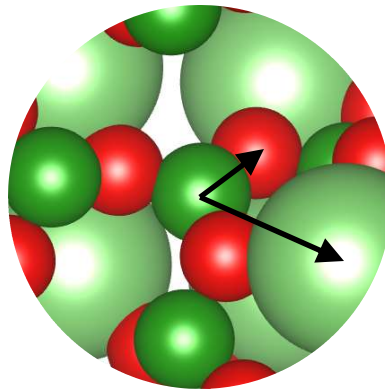


# Machine-learned interatomic potentials



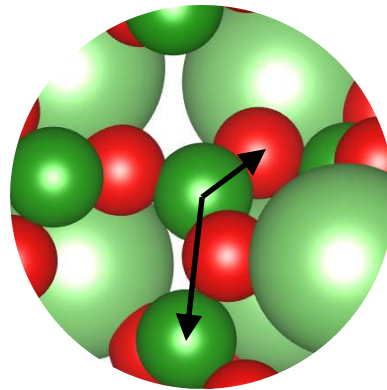
# Moment tensor potentials

The energy is a polynomial of inner products of vectors between atoms and the vector lengths.



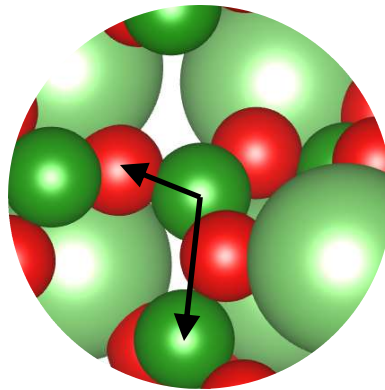
# Moment tensor potentials

The energy is a polynomial of inner products of vectors between atoms and the vector lengths.



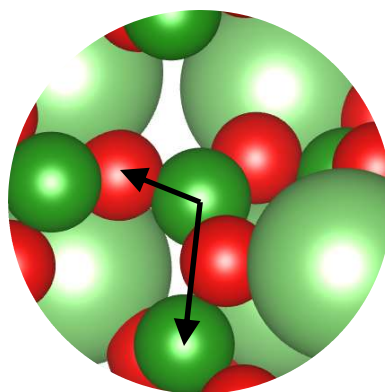
# Moment tensor potentials

The energy is a polynomial of inner products of vectors between atoms and the vector lengths.



# Moment tensor potentials

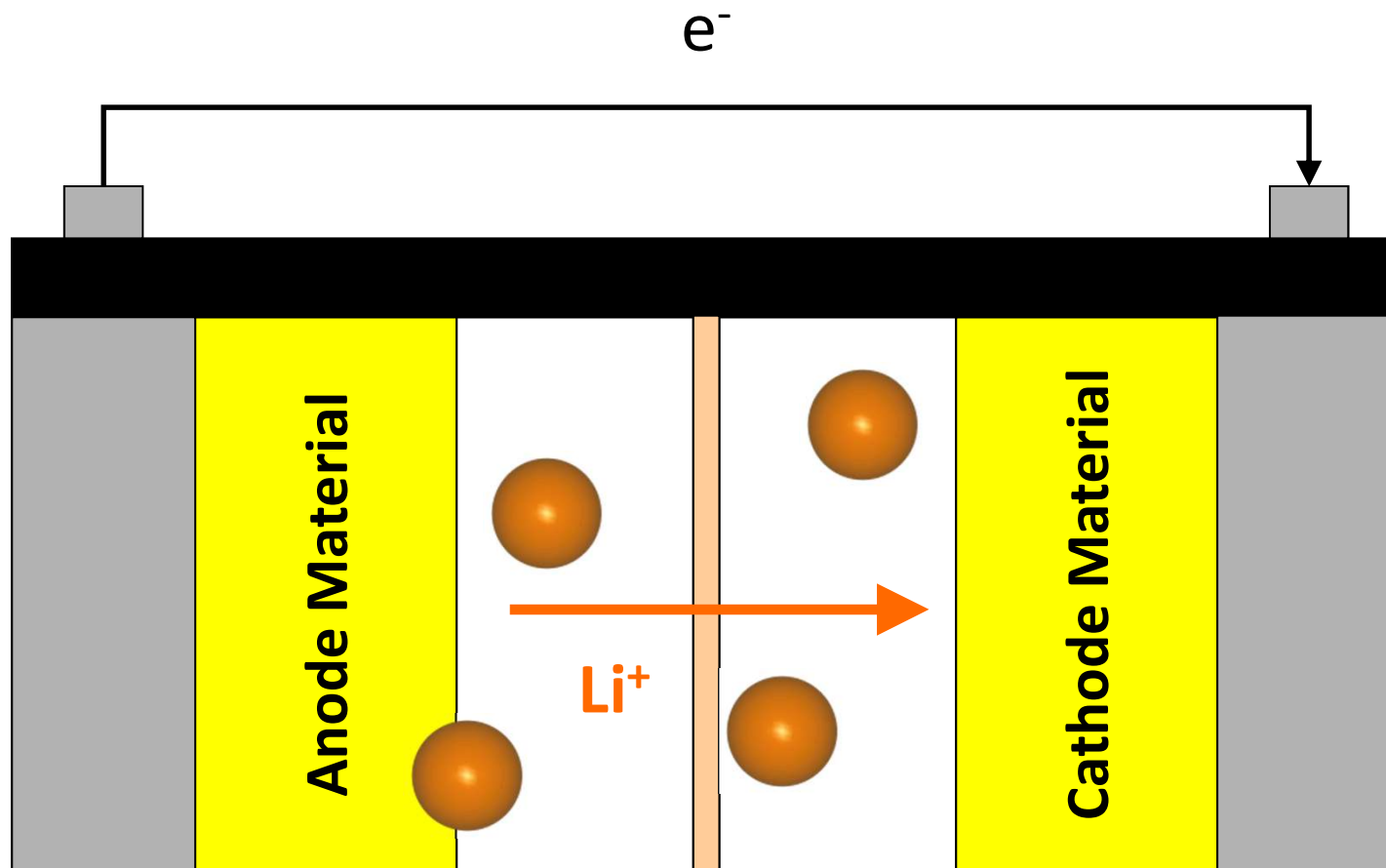
The energy is a polynomial of inner products of vectors between atoms and the vector lengths.



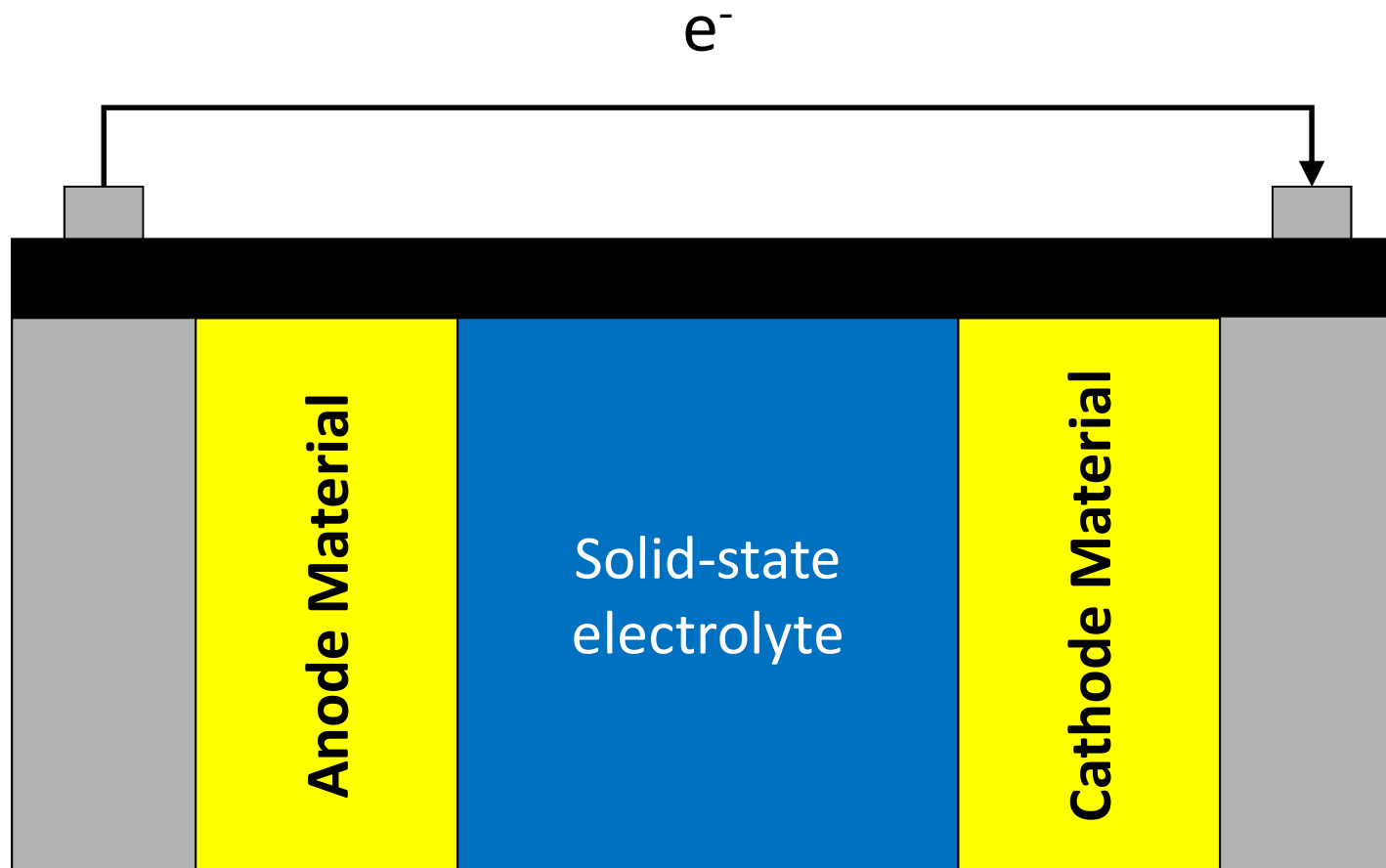
They demonstrate excellent balance between speed and interpolative predictive accuracy.



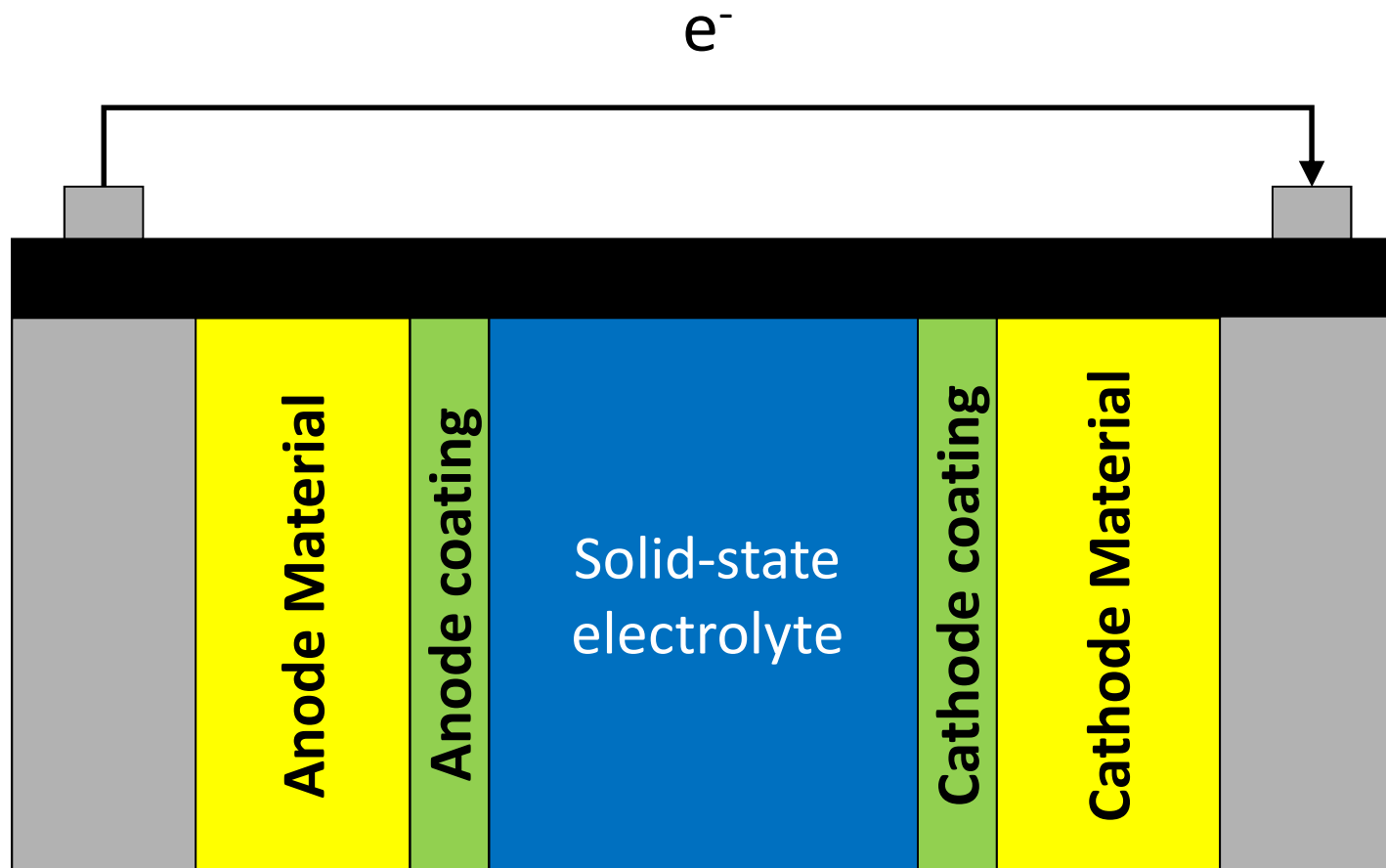
# Lithium-ion batteries



# Lithium-ion batteries

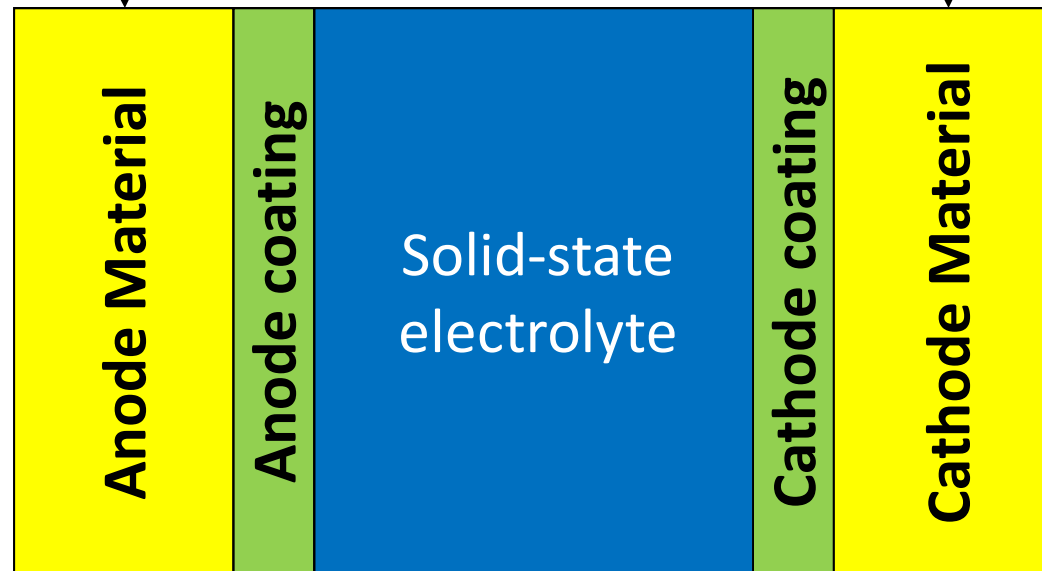


# Lithium-ion batteries

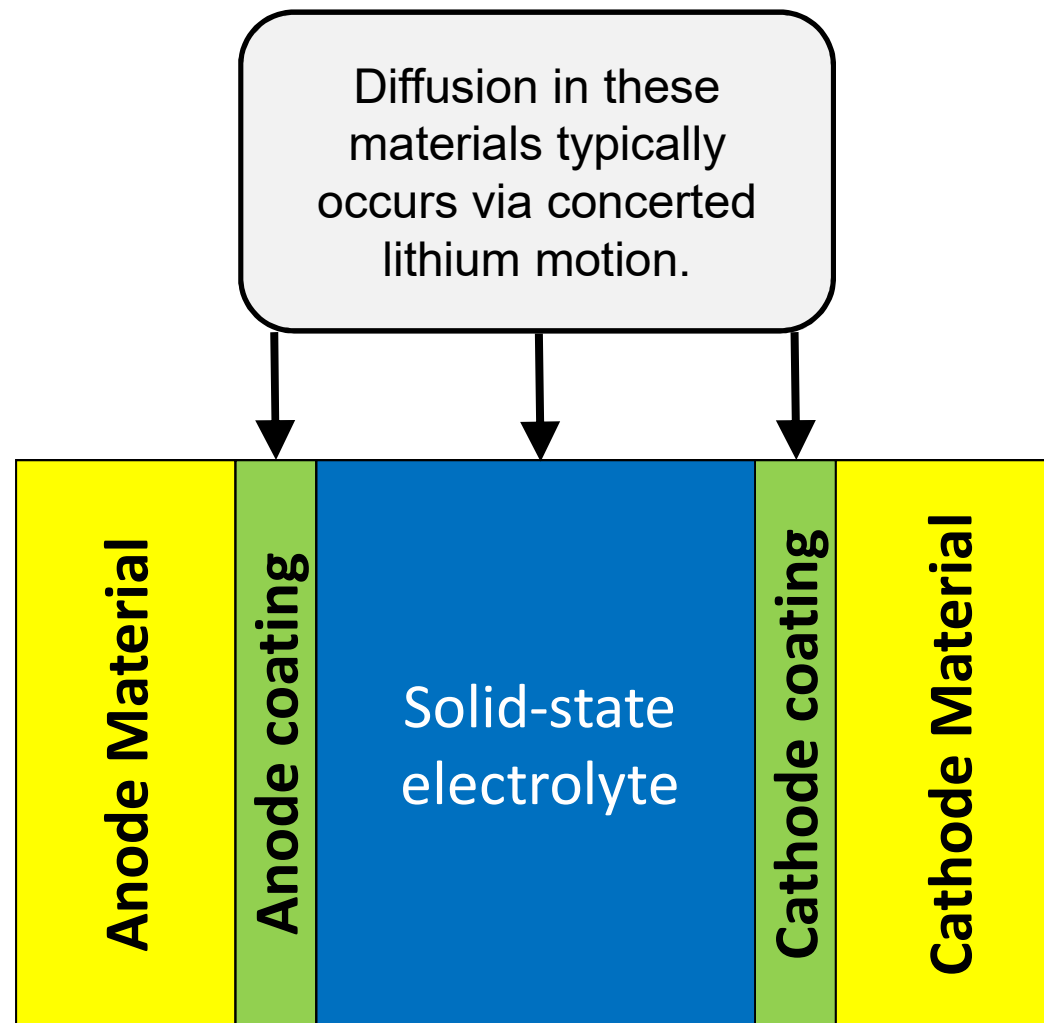


# Lithium-ion batteries

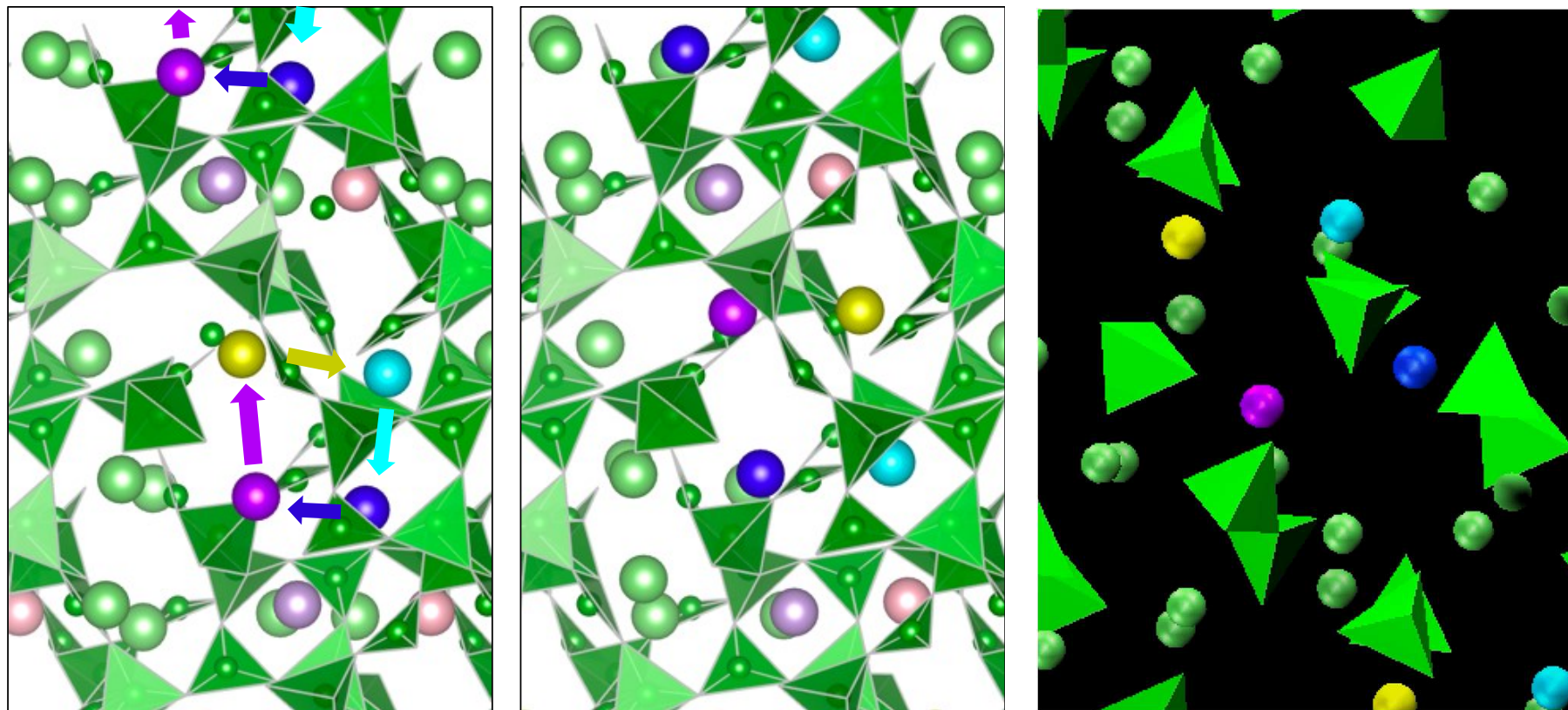
In the anode and cathode, lithium ions typically diffuse by hopping into vacant sites.  
The activation energy can be calculated using the nudged elastic band method.



# Lithium-ion batteries



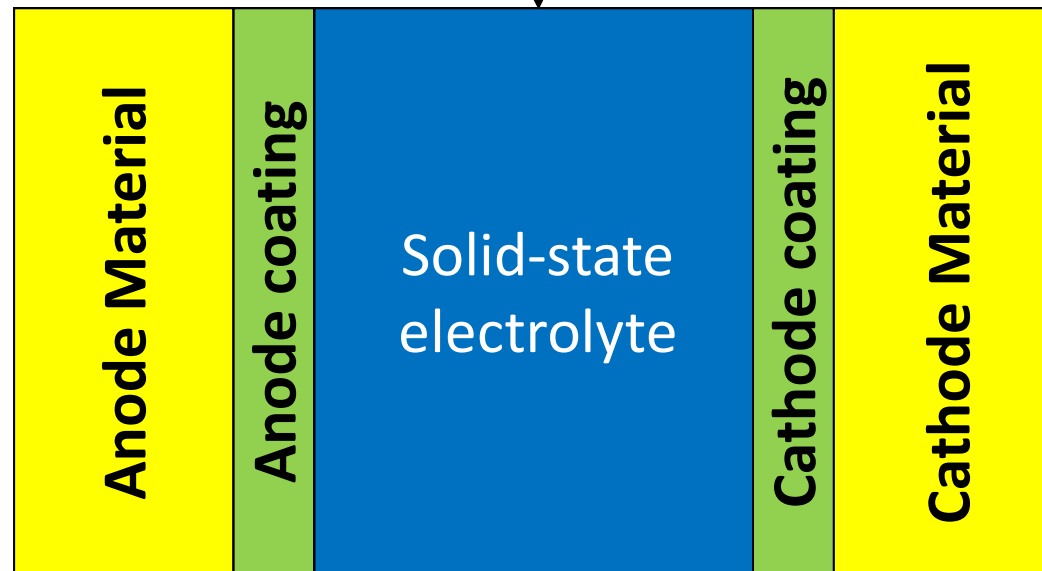
# Concerted lithium-ion diffusion



C. Wang, K. Aoyagi, P. Wisesa, and T. Mueller, *Chemistry of Materials* **32**, 9, 3741–3752 (2020)

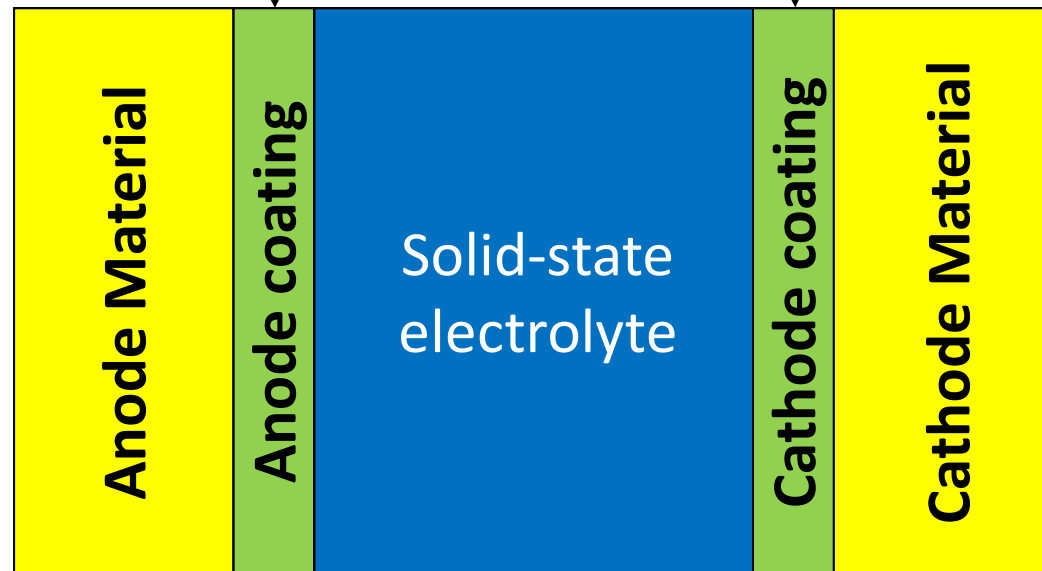
# Lithium-ion batteries

In the superionic conductors used as electrolytes, diffusivity can be calculated using ab-initio molecular dynamics.



# Lithium-ion batteries

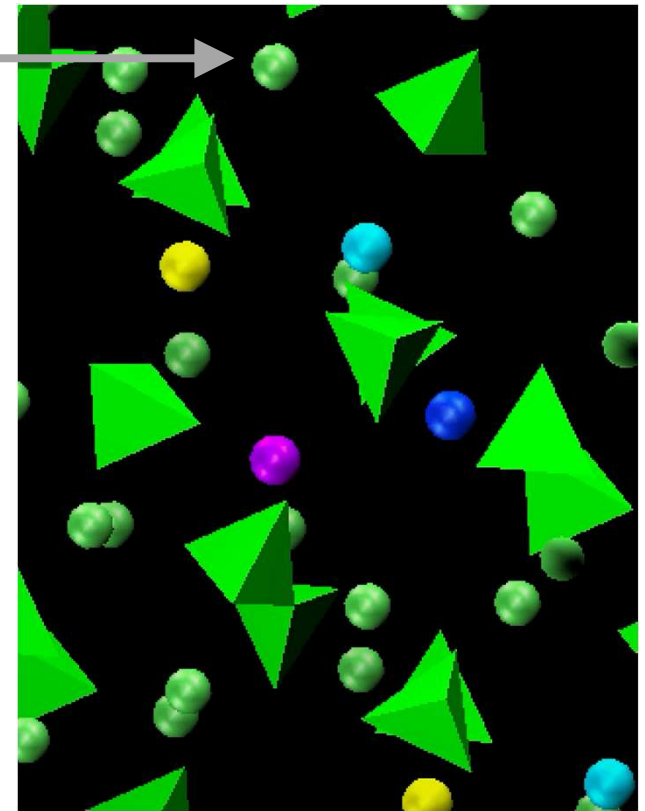
These do not need to be superionic conductors. Diffusion is too slow for ab initio molecular dynamics.





# Ensuring high accuracy

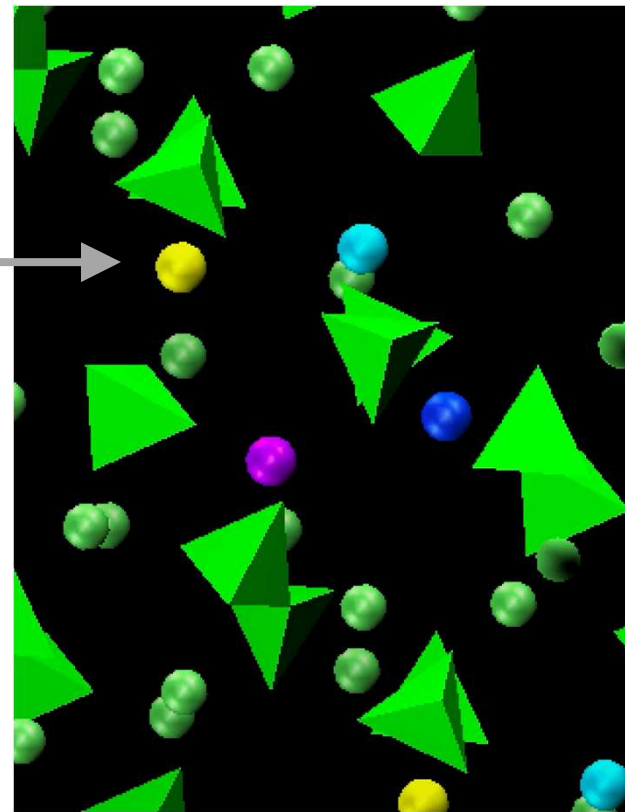
Moment tensor potentials can be highly accurate for local configurations similar to ones used to train them.



# Ensuring high accuracy

Moment tensor potentials can be highly accurate for local configurations similar to ones used to train them.

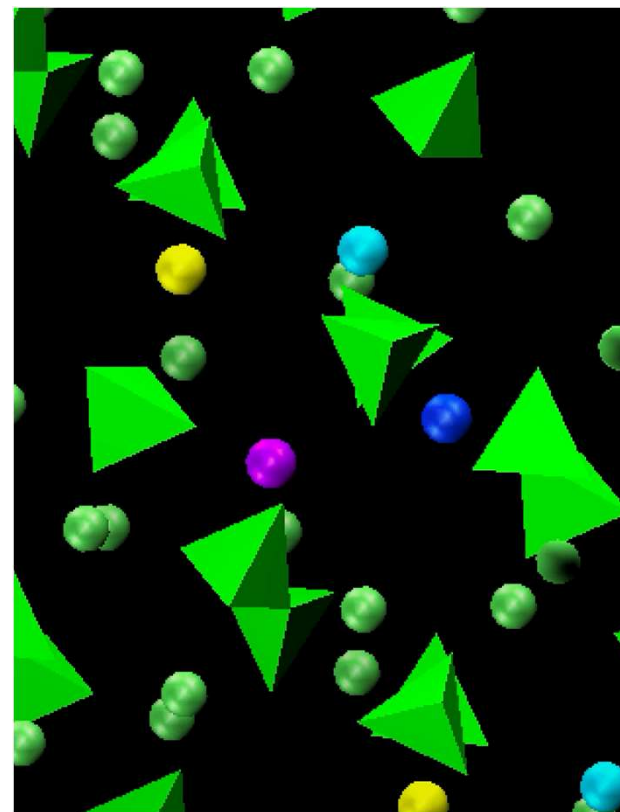
Sometimes a configuration unlike any in the training set is encountered.



# Learning on the fly

Moment tensor potentials can be highly accurate for local configurations similar to ones used to train them.

When encountering a new configuration, potentials can “learn on the fly”: the new configuration is automatically added to the training data and the potential is retrained to ensure accuracy.

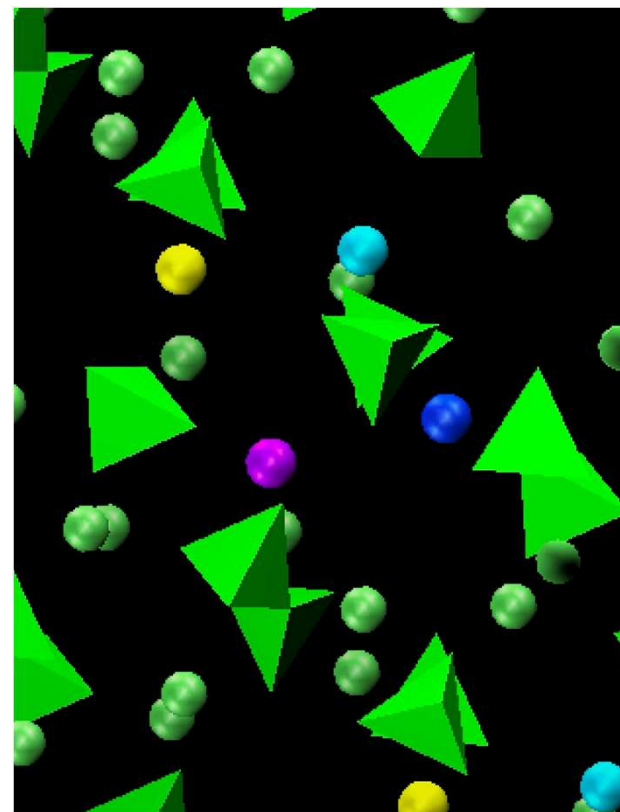


# Learning on the fly

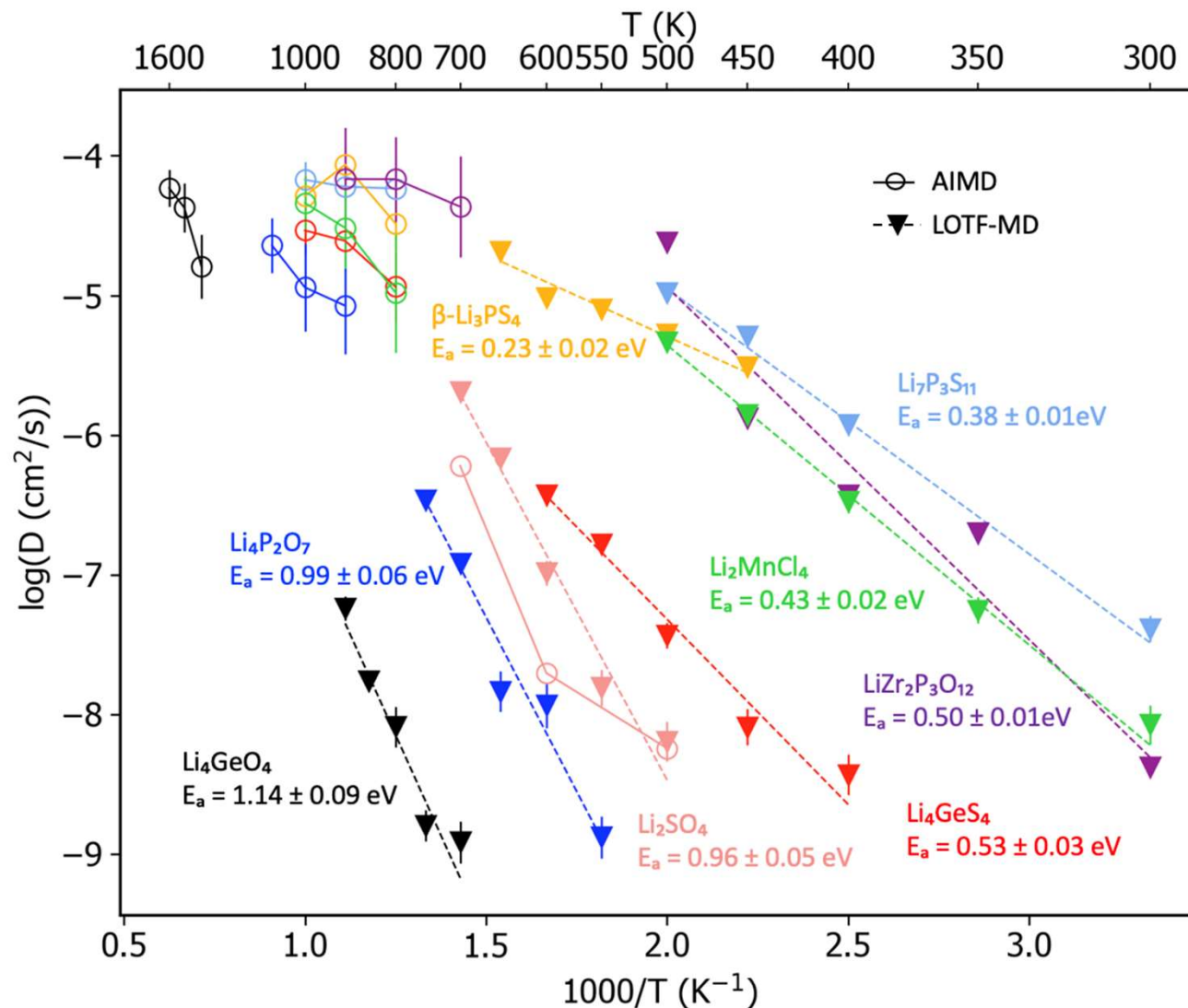
Moment tensor potentials can be highly accurate for local configurations similar to ones used to train them.

When encountering a new configuration, potentials can “learn on the fly”: the new configuration is automatically added to the training data and the potential is retrained to ensure accuracy.

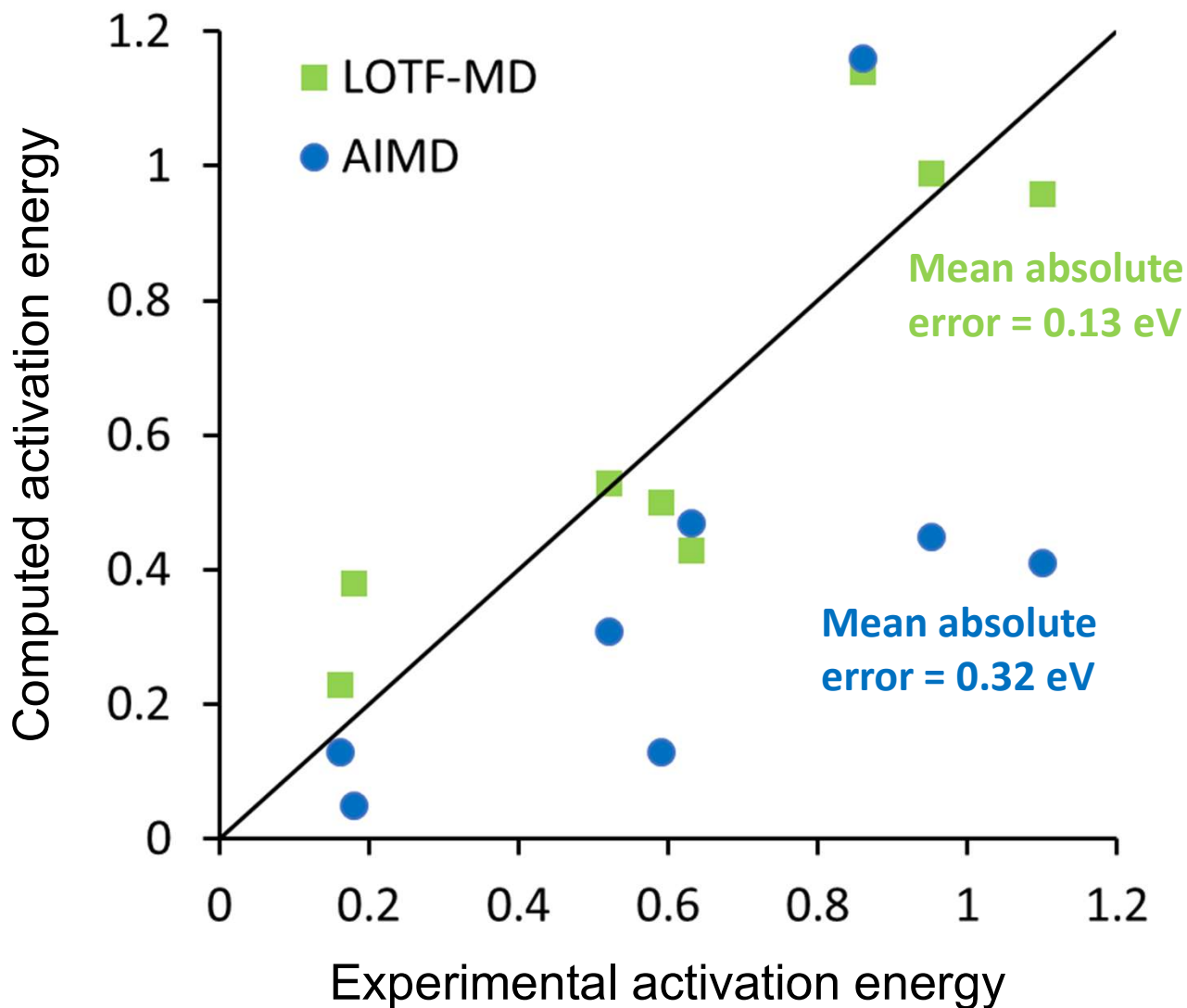
The resulting potential generates molecular dynamics data seven orders of magnitude faster than ab-initio molecular dynamics with nearly the same accuracy.



# Better Arrhenius plots

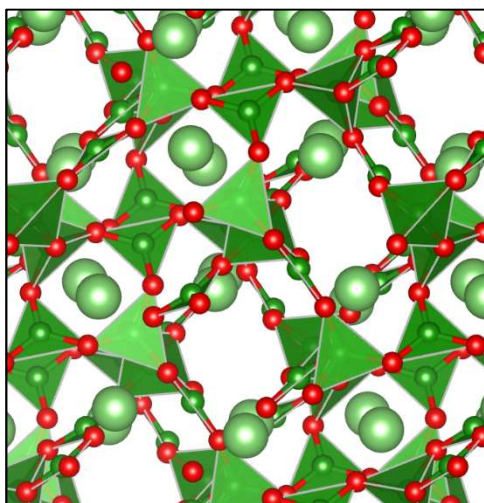


# Better experimental validation



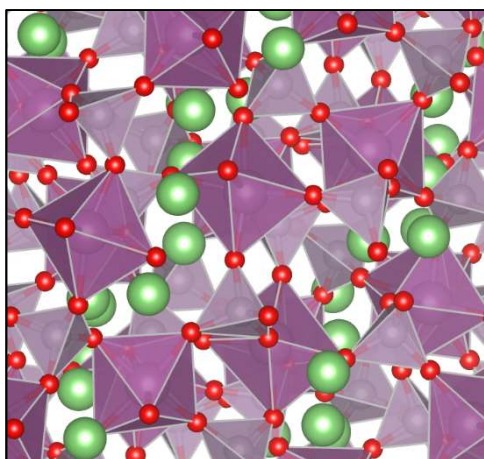
# New candidate coating materials

$\text{Li}_3\text{B}_7\text{O}_{12}$



Solid-state electrolytes	Coating	Cathodes
$\text{Li}_7\text{P}_3\text{S}_{12}$ $\text{Li}_{10}\text{GeP}_2\text{S}_{12}$ $\text{Li}_{10}\text{SnP}_2\text{S}_{12}$ $\text{Li}_{10}\text{SiP}_2\text{S}_{12}$ $\text{Li}_6\text{PS}_5\text{Br}$ $\text{Li}_6\text{PS}_5\text{Cl}$	$\text{Li}_3\text{B}_7\text{O}_{12}$  $E_a = 0.56 \text{ eV}$	$\text{LiCoO}_2$ $\text{LiFePO}_4$ $\text{LiMn}_2\text{O}_4$ $\text{Li}(\text{MnNiCo})_{1/3}\text{O}_2$ $\text{LiMn}_{1.5}\text{Ni}_{0.5}\text{O}_2$

$\text{Li}_3\text{Sc}_2(\text{PO}_4)_3$



Solid-state electrolytes	Coating	Cathodes
$\text{Li}_7\text{P}_3\text{S}_{12}$	$\text{Li}_3\text{Sc}_2(\text{PO}_4)_3$  $E_a = 0.64 \text{ eV}$	$\text{LiFePO}_4$ $\text{Li}(\text{MnNiCo})_{1/3}\text{O}_2$

# Speed considerations

Moment tensor potentials are among the fastest machine-learned interatomic potential models, but they are still 1-2 orders of magnitude slower than widely-used physics-derived models like the embedded atom method.



# Supervised machine learning

# Supervised machine learning

1. Select a hypothesis space

# Supervised machine learning

## 1. Select a hypothesis space

Functions that can be created by combining addition, subtraction, multiplication, division, exponentiation, distance, sum over neighbors, constant values.

# Supervised machine learning

## 1. Select a hypothesis space

Functions that can be created by combining addition subtraction, multiplication, division, exponentiation, distance, sum over neighbors, constant values.

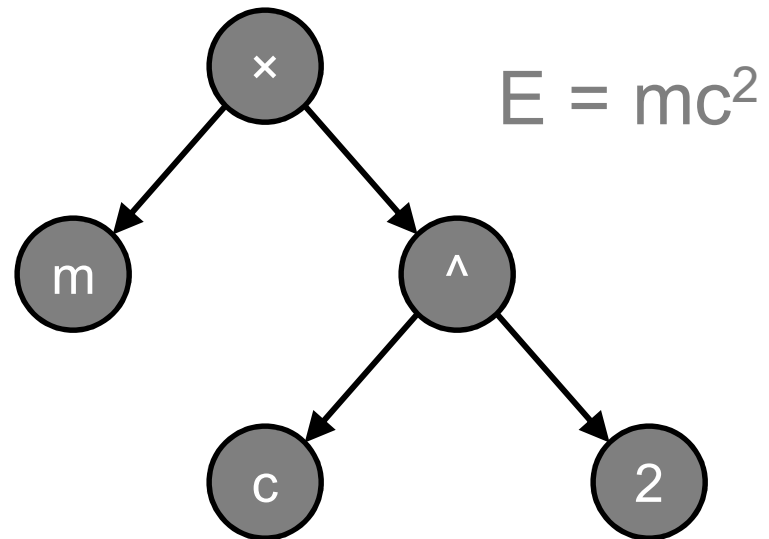
Many physics-derived models exist in this hypothesis space: Coulomb, Lennard-Jones, harmonic potentials, embedded atom method, bond order potentials...

# Supervised machine learning

## 1. Select a hypothesis space

Functions that can be created by combining addition, subtraction, multiplication, division, exponentiation, distance, sum over neighbors, constant values.

Functions are represented as trees.



# Supervised machine learning

2. Select an objective function

# Supervised machine learning

## 2. Select an objective function

Find candidates on convex hull with respect to

- Fitness  
Based on errors with respect to standardized energies, forces, and virial stresses.

# Supervised machine learning

## 2. Select an objective function

Find candidates on convex hull with respect to

- Fitness
- Speed  
Faster models can handle larger time and length scales.



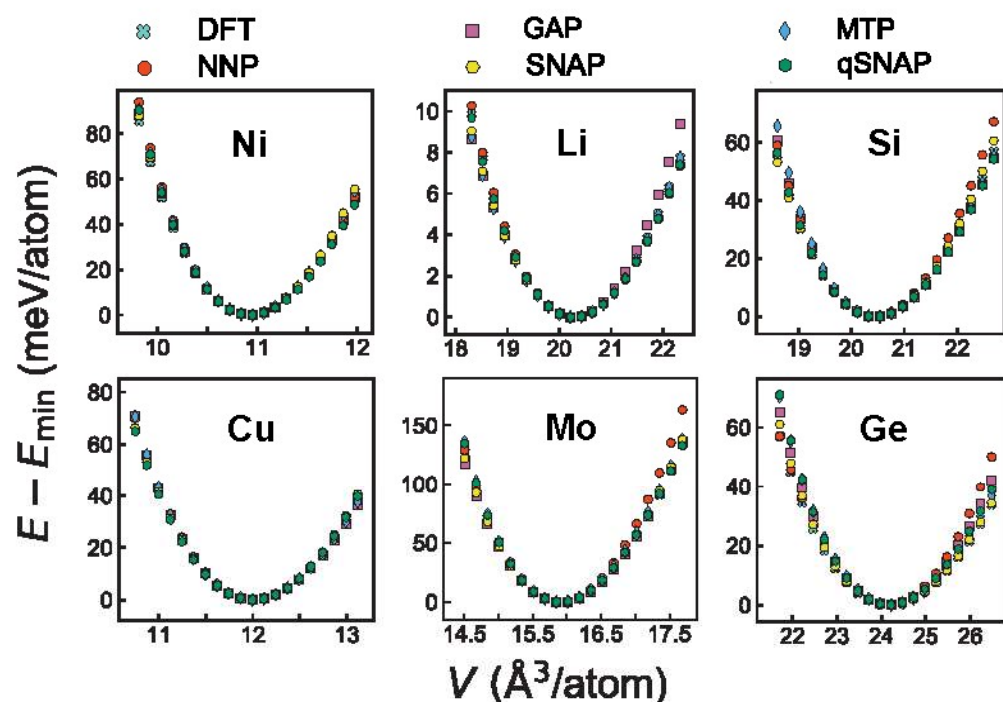
# Supervised machine learning

## 2. Select an objective function

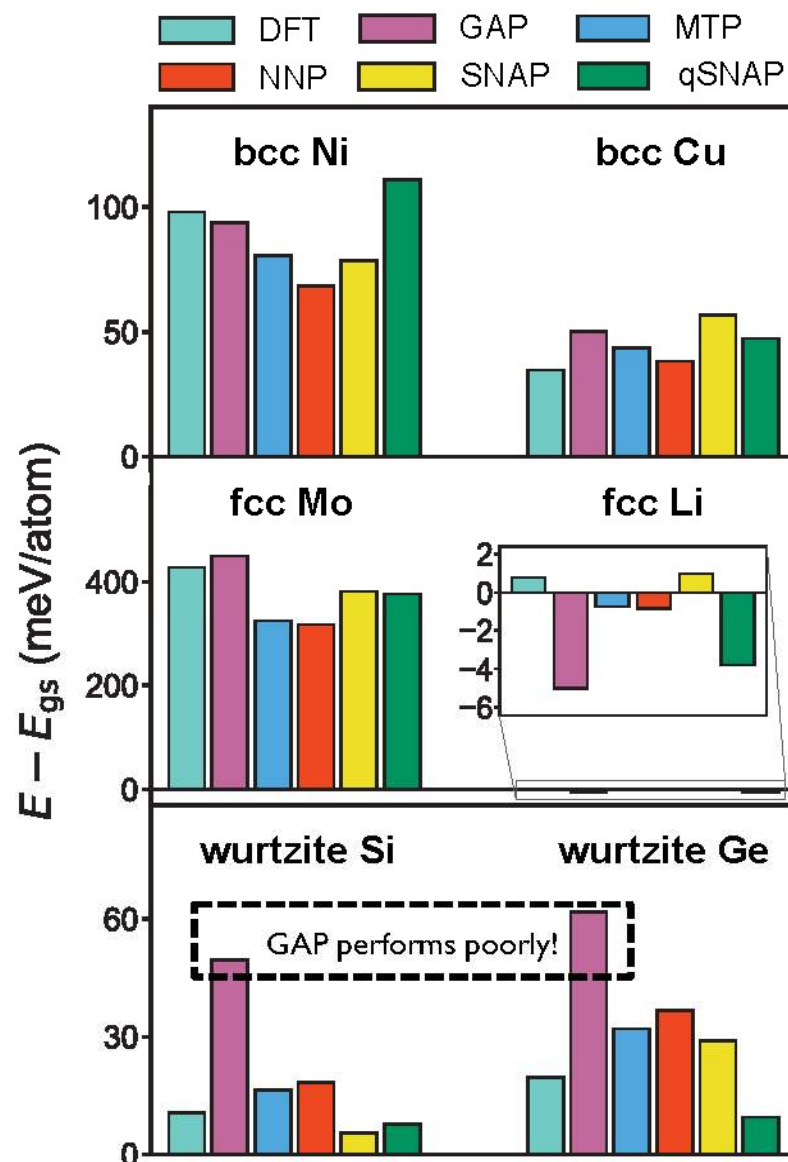
Find candidates on convex hull with respect to

- Fitness
- Speed
- Complexity  
Simpler models are less likely to overfit training data.

# ML-IAP: Extrapolability



- The greater the ML complexity (e.g., NNP and GAP), the greater the issues with extrapolation.
- Linear SNAP performs surprisingly well on EOS and polymorph energy differences.



Zuo et al. A Performance and Cost Assessment of Machine Learning Interatomic Potentials. arXiv:1906.08888 2019.

# Supervised machine learning

3. Search the hypothesis space

# Supervised machine learning

## 3. Search the hypothesis space

This problem is known as “symbolic regression”.

# Supervised machine learning

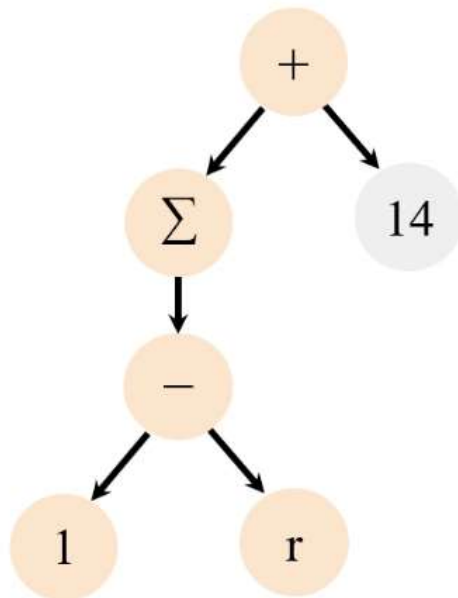
## 3. Search the hypothesis space

This problem is known as “symbolic regression”.

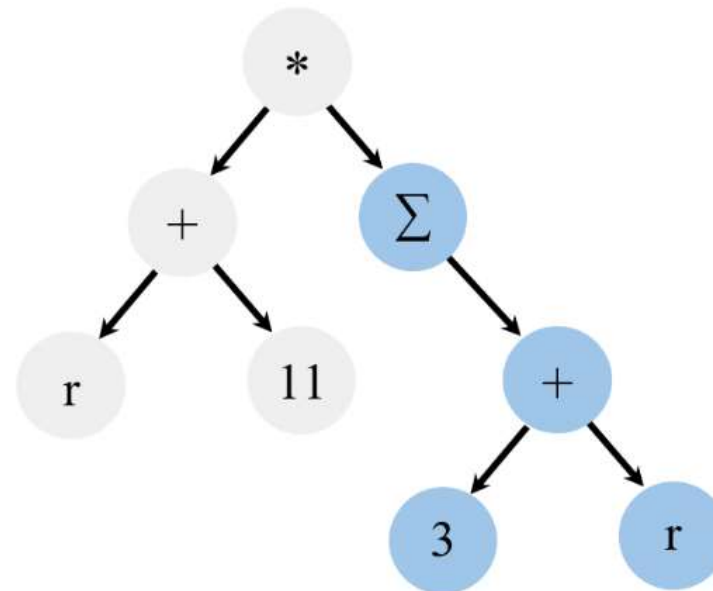
We use an approach called “genetic programming”, in which functions evolve using an evolutionary algorithm.

# Evolutionary step: Crossover

$$\Sigma(1-r) + 14$$

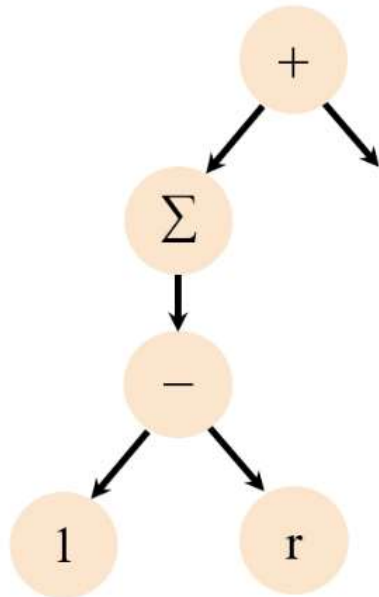


$$(r+11) * \Sigma(3+r)$$

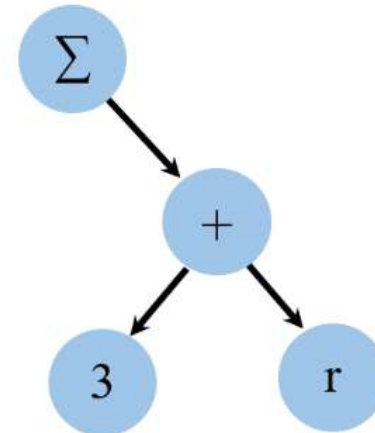


# Evolutionary step: Crossover

$$\sum (1 - r) +$$

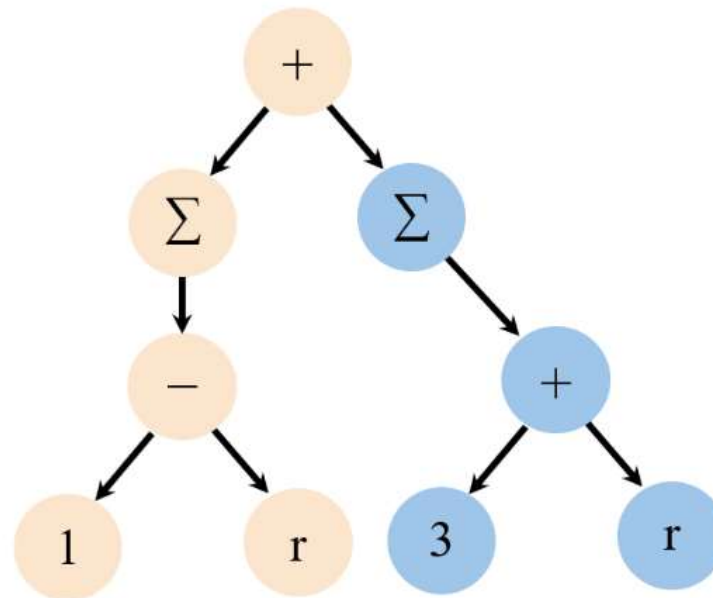


$$\sum (3 + r)$$



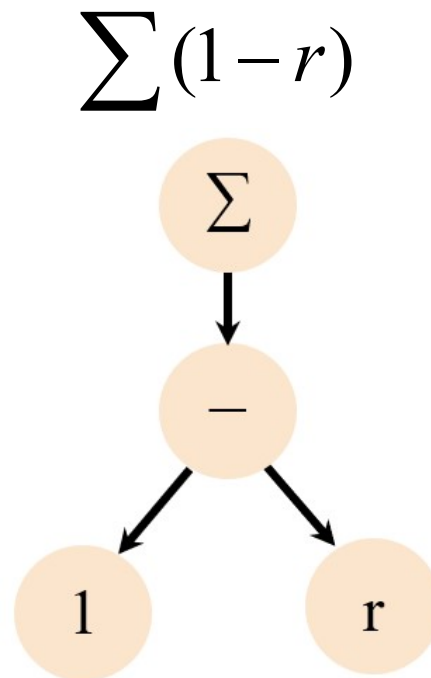
# Evolutionary step: Crossover

$$\Sigma(1-r) + \Sigma(3+r)$$

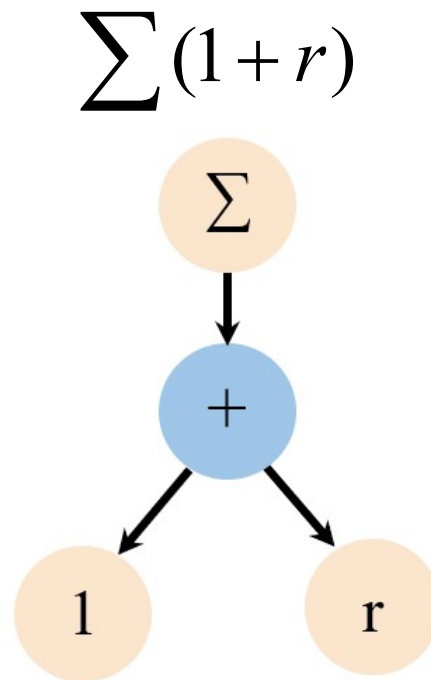




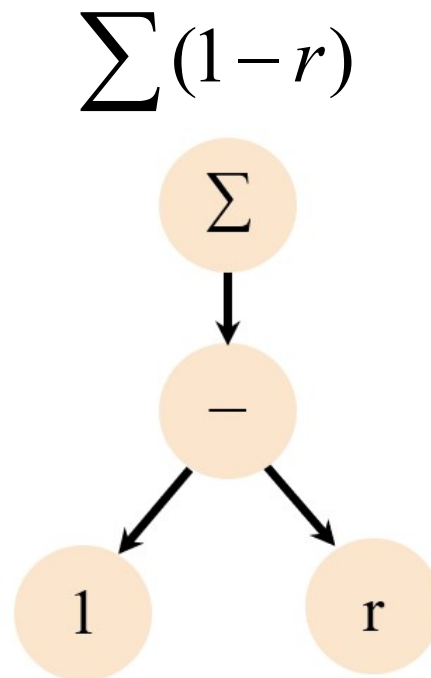
# Evolutionary step: Mutation



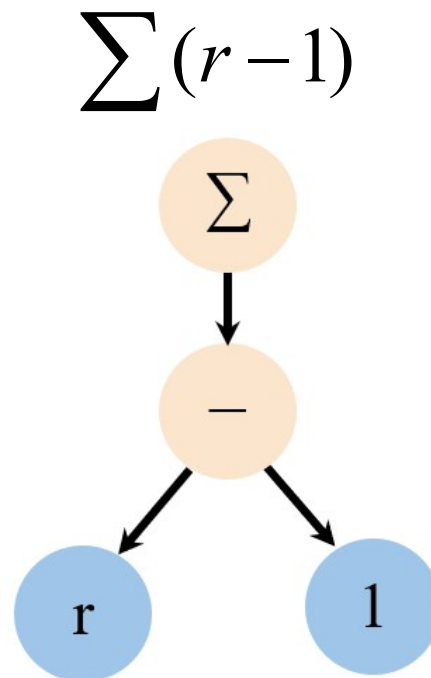
# Evolutionary step: Mutation



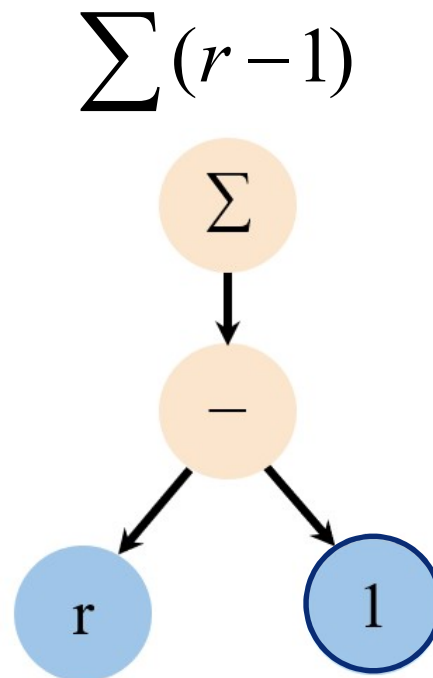
# Evolutionary step: Mutation



# Evolutionary step: Mutation



# Evolutionary step: Mutation



We use conjugate gradient and CMA-ES to optimize the parameters.

# Regenerating the embedded atom method

Potential model used to generate training data

$$V_{SC} = \sum_i \left( \sum_j \frac{644.52}{r^9} - \left( \sum_j \frac{527.62}{r^6} \right)^{0.5} \right)$$

(Sutton and Chen, Philosophical Magazine Letters, 1990)

# Regenerating the embedded atom method

Potential model used to generate training data

$$V_{SC} = \sum_i \left( \sum_j \frac{644.52}{r^9} - \left( \sum_j \frac{527.62}{r^6} \right)^{0.5} \right)$$

(Sutton and Chen, Philosophical Magazine Letters, 1990)

Potential model found by genetic programming

$$V = \sum_i \left( -0.73 - 2.53 \left( \left( -0.66(384.39) \sum_j r^{-9.00} \right) + \left( 0.25 / \left( 20.63 \sum_j r^{-6.00} \right) \right)^{-0.50} \right) \right)$$

A. Hernandez, A. Balasubramanian, F. Yuan, S. A. M. Mason and T. Mueller  
npj Computational Materials **5**, 112 (2019)

# Regenerating the embedded atom method

Potential model used to generate training data

$$V_{SC} = \sum_i \left( \sum_j \frac{644.52}{r^9} - \left( \sum_j \frac{527.62}{r^6} \right)^{0.5} \right)$$

(Sutton and Chen, Philosophical Magazine Letters, 1990)

Potential model found by genetic programming (simplified)

$$V = \sum_i \left( -0.73 + \sum_j \frac{644.55}{r^{9.00}} - \left( \sum_j \frac{527.32}{r^{6.00}} \right)^{0.50} \right)$$

A. Hernandez, A. Balasubramanian, F. Yuan, S. A. M. Mason and T. Mueller  
npj Computational Materials **5**, 112 (2019)



# New models for copper from DFT data

GP1

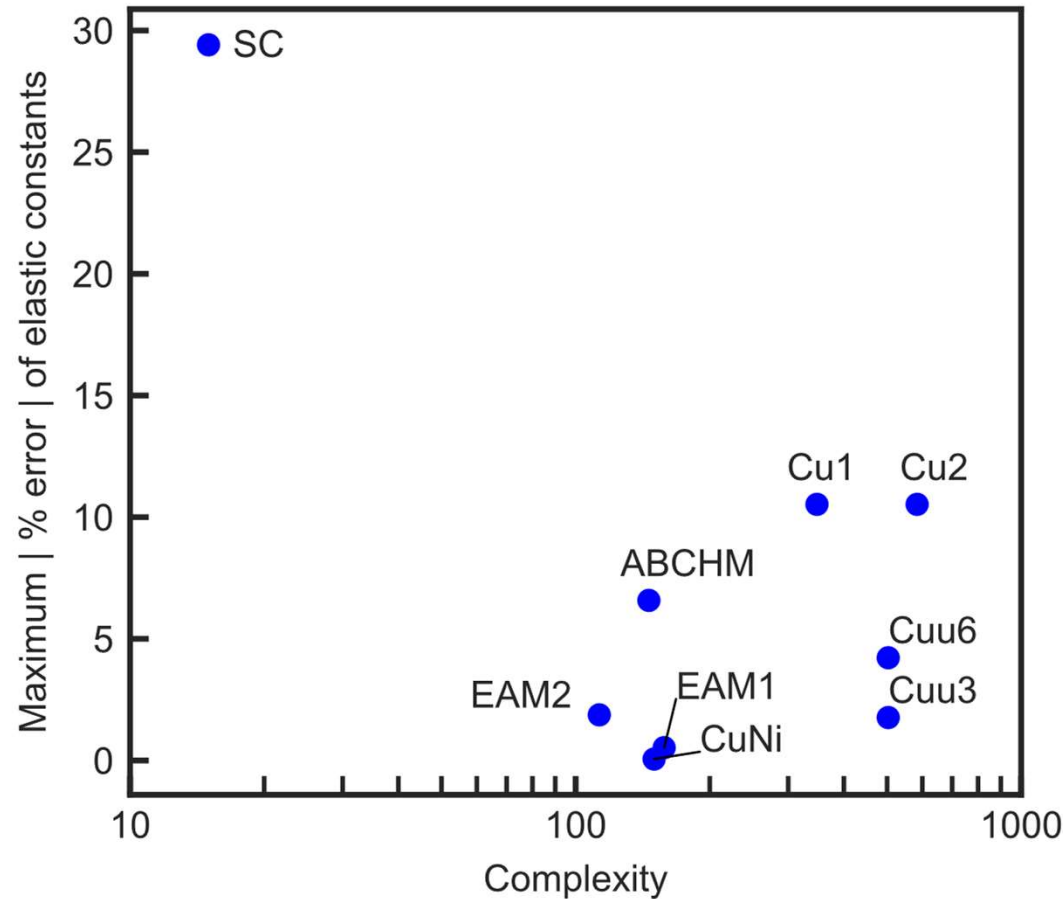
$$\sum (r^{10.21-5.47r} - 0.21^r) f(r) + 0.97 \left( \sum 0.33^r f(r) \right)^{-1}$$

GP2

$$V = 7.33 \sum r^{3.98-3.94r} f(r) + \left( 27.32 - \sum (11.13 + 0.03r^{11.74-2.93r}) f(r) \right) \left( \sum f(r) \right)^{-1}$$

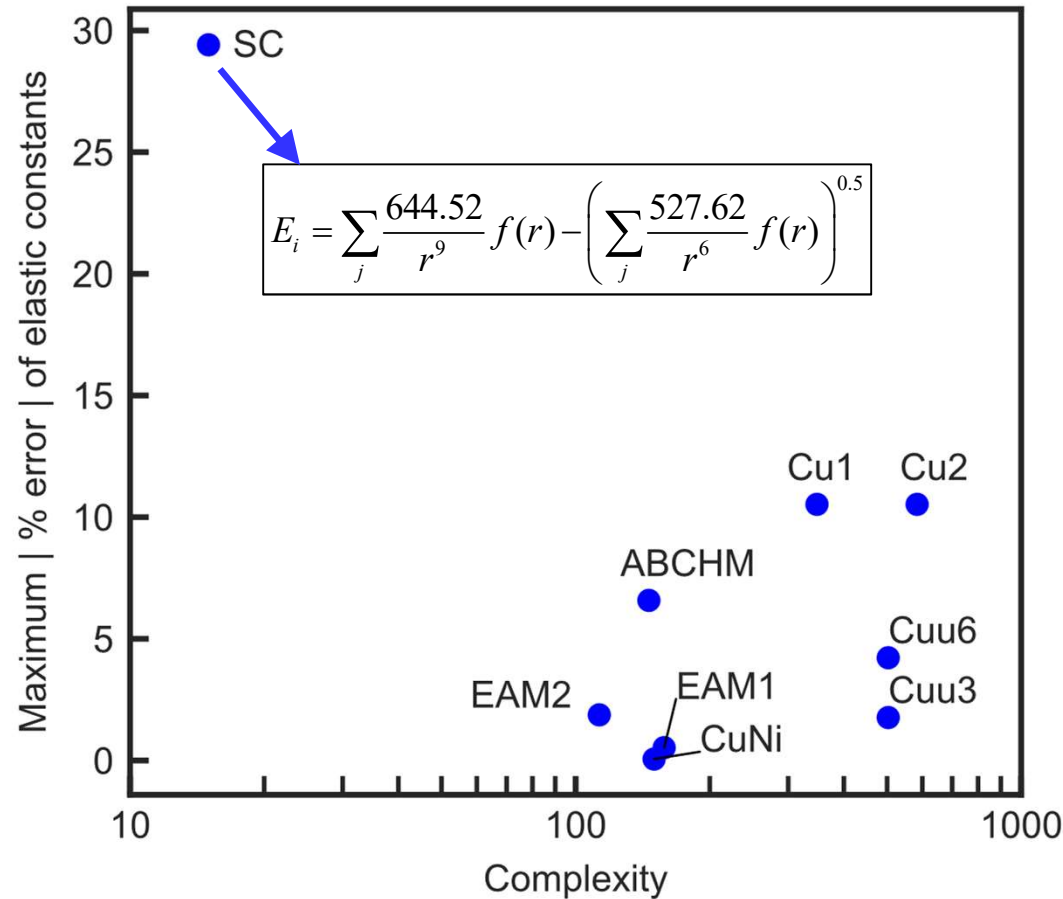
$f(r)$  is a tapering function.

# Pareto frontier for elastic constants



SC: (Sutton and Chen 1990), ABCHM and Cu1: (Mendelev, Kramer et al. 2008), EAM1 and 2: (Mishin, Mehl et al. 2001), Cu2: (Mendelev and King 2013), Adams: (Adams, Foiles et al. 1989). Cuu3: (Becker et al., Current Opinion in Solid State and Materials Science, 2013), CuNi: (Onat and Durukanoglu, JPCM, 2013)

# Pareto frontier for elastic constants



SC: (Sutton and Chen 1990), ABCHM and Cu1: (Mendelev, Kramer et al. 2008), EAM1 and 2: (Mishin, Mehl et al. 2001), Cu2: (Mendelev and King 2013), Adams: (Adams, Foiles et al. 1989). Cuu3: (Becker et al., Current Opinion in Solid State and Materials Science, 2013), CuNi: (Onat and Durukanoglu, JPCM, 2013)

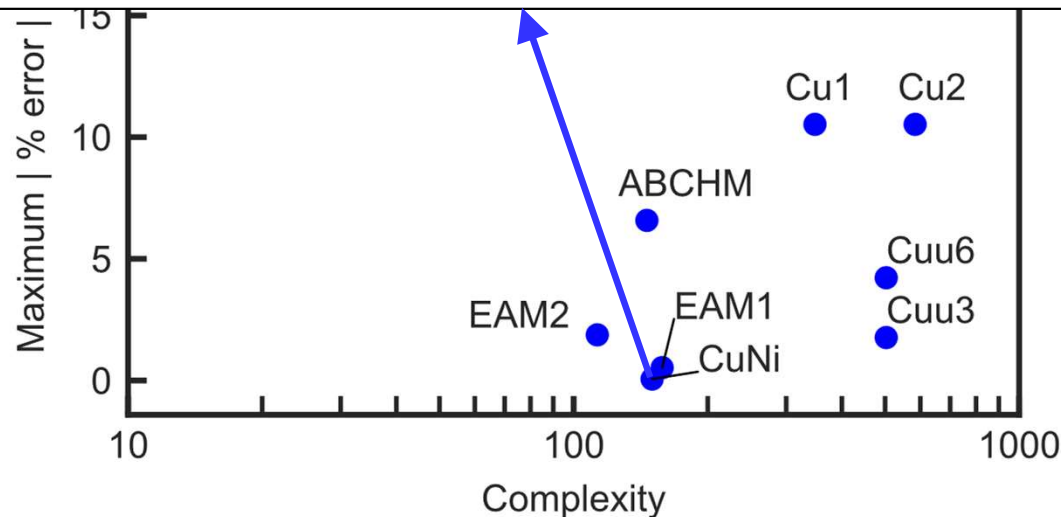
# Pareto frontier for elastic constants

$$E_i = \frac{1}{2} \sum_j \left( D_M \left[ 1 - e^{-\alpha_M(r-R_M)} \right]^2 - D_M \right) f(r) + F(\bar{\rho}_i)$$

$$\bar{\rho}_i = \sum_j \tanh(20r^2) \left\{ r^6 (e^{-\beta r} + 2^9 e^{-2\beta r}) + \frac{\sigma^{(1)}}{\mu^{(1)}} e^{-\frac{1}{2}[\mu^{(1)}(r-R_B)]^2} - 0.1\sigma^{(1)} e^{-\frac{1}{2}[\mu^{(1)}(r-(R_B+0.5))]^2} \right\} f(r)$$

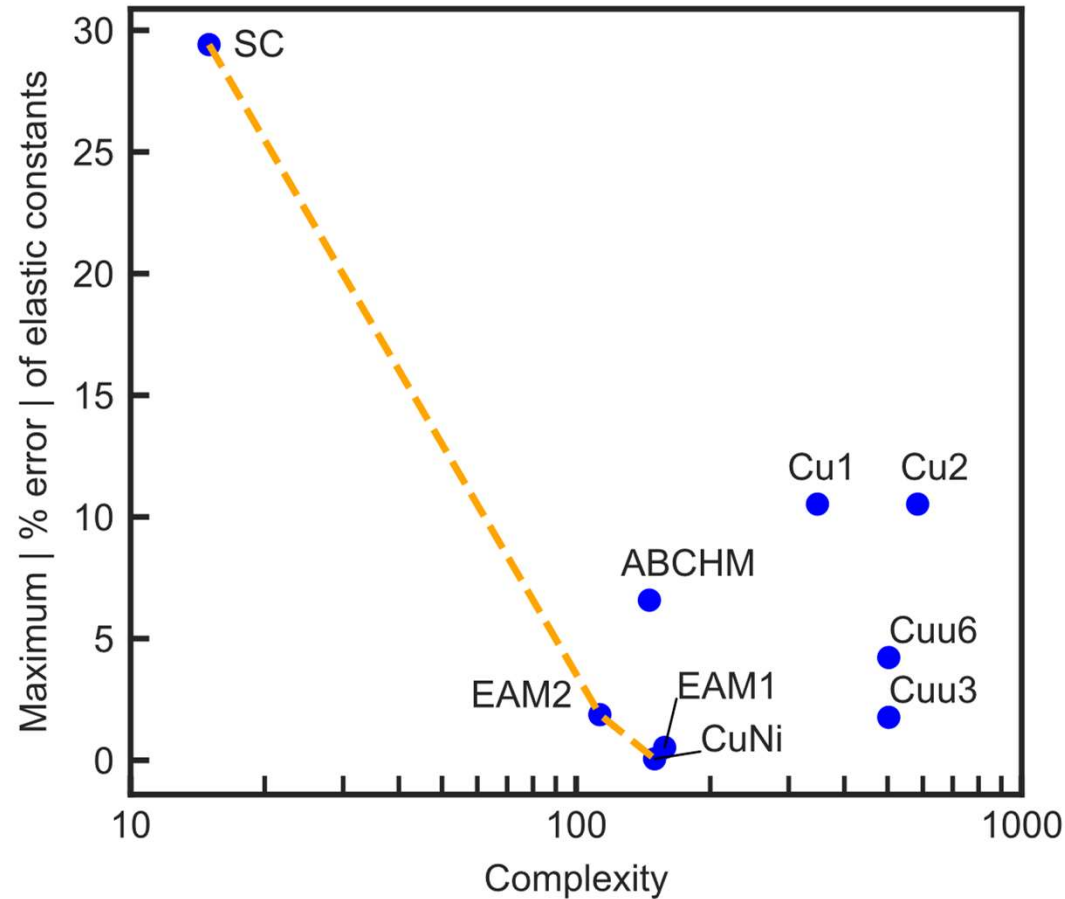
$$\sum_i F(\bar{\rho}_i) = -E_{sub}(1+a^*)e^{-a^*} - \frac{1}{2} \sum_i \sum_j \left( D_M \left[ 1 - e^{-\alpha_M(r-R_M)} \right]^2 - D_M \right) f(r)$$

$$a^* = (a/a_0 - 1) / (E_{sub} / 9B\Omega)^{1/2}$$



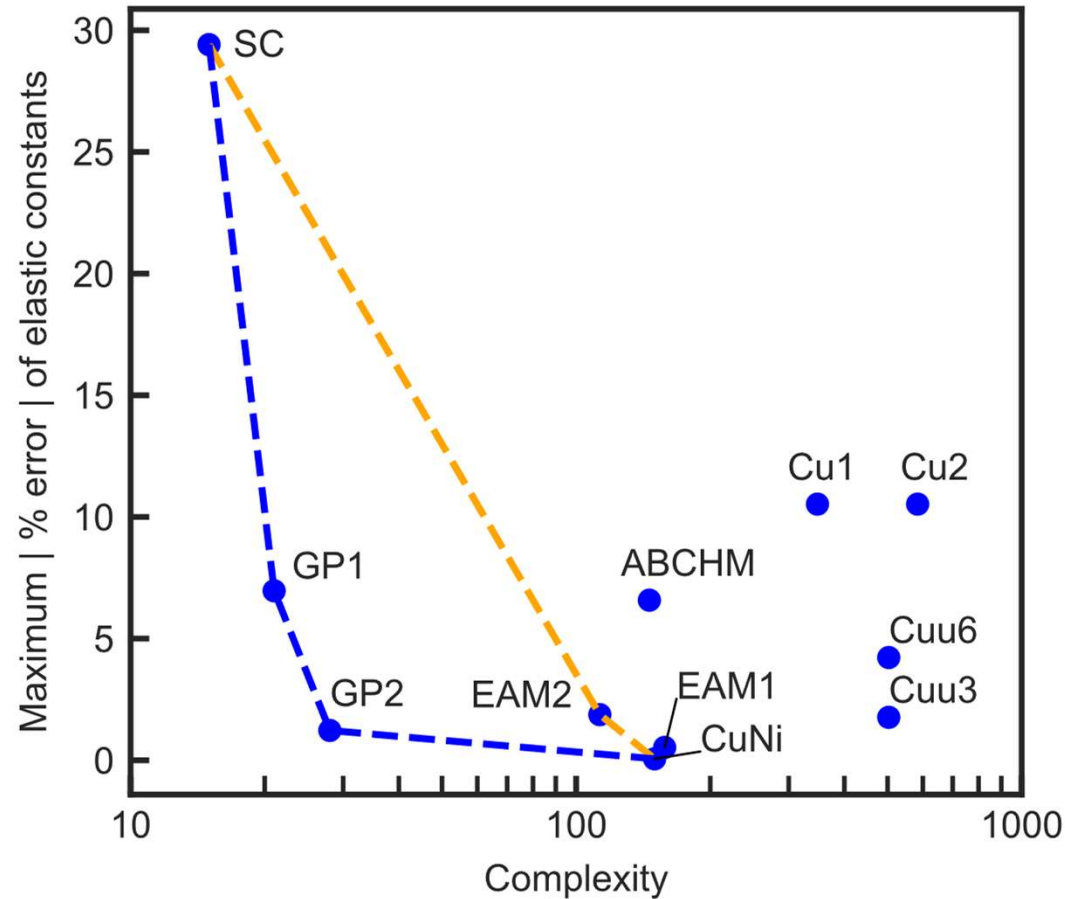
SC: (Sutton and Chen 1990), ABCHM and Cu1: (Mendelev, Kramer et al. 2008), EAM1 and 2: (Mishin, Mehl et al. 2001), Cu2: (Mendelev and King 2013), Adams: (Adams, Foiles et al. 1989). Cuu3: (Becker et al., Current Opinion in Solid State and Materials Science, 2013), CuNi: (Onat and Durukanoglu, JPCM, 2013)

# Pareto frontier for elastic constants



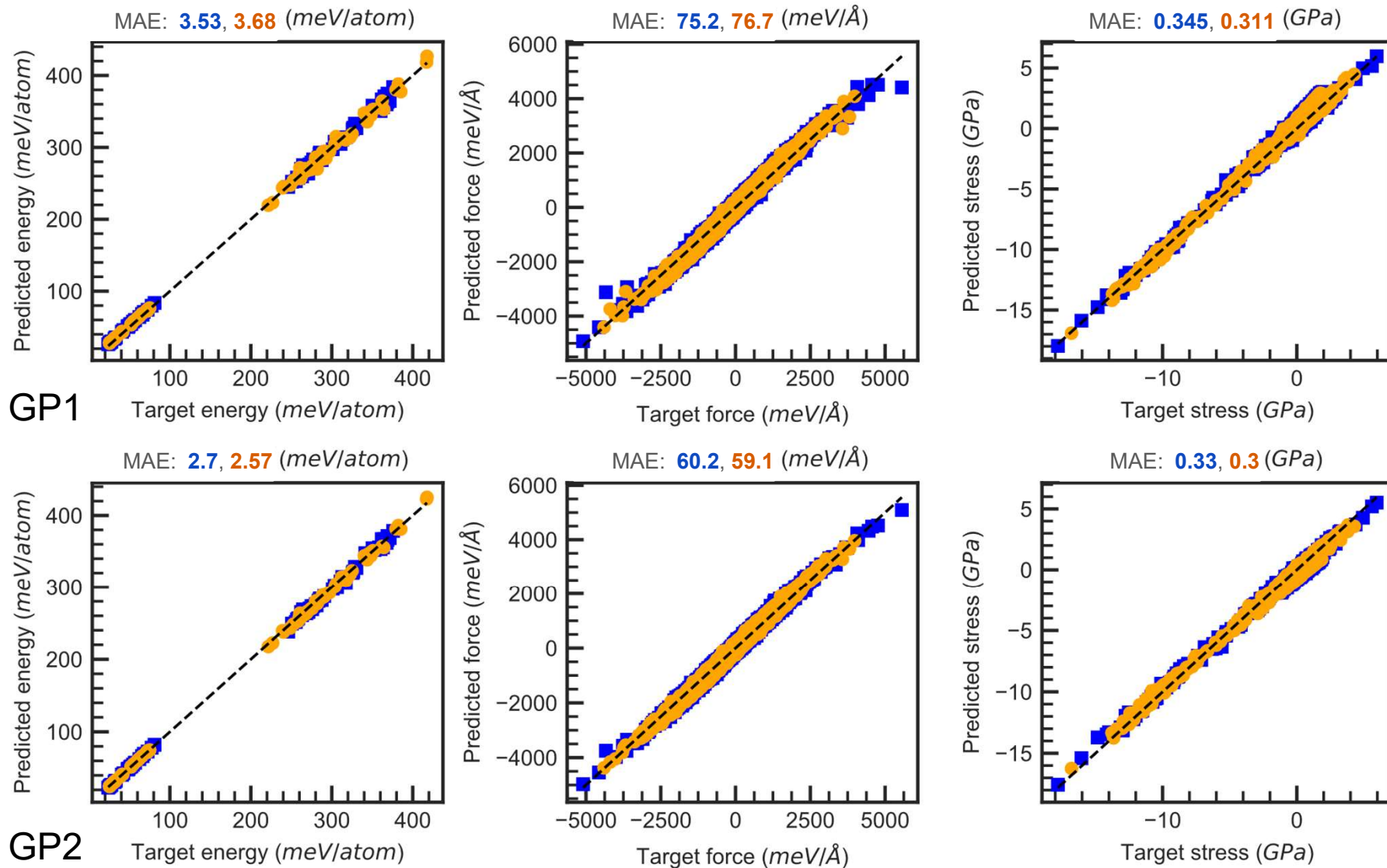
SC: (Sutton and Chen 1990), ABCHM and Cu1: (Mendelev, Kramer et al. 2008), EAM1 and 2: (Mishin, Mehl et al. 2001), Cu2: (Mendelev and King 2013), Adams: (Adams, Foiles et al. 1989). Cuu3: (Becker et al., Current Opinion in Solid State and Materials Science, 2013), CuNi: (Onat and Durukanoglu, JPCM, 2013)

# Pareto frontier for elastic constants



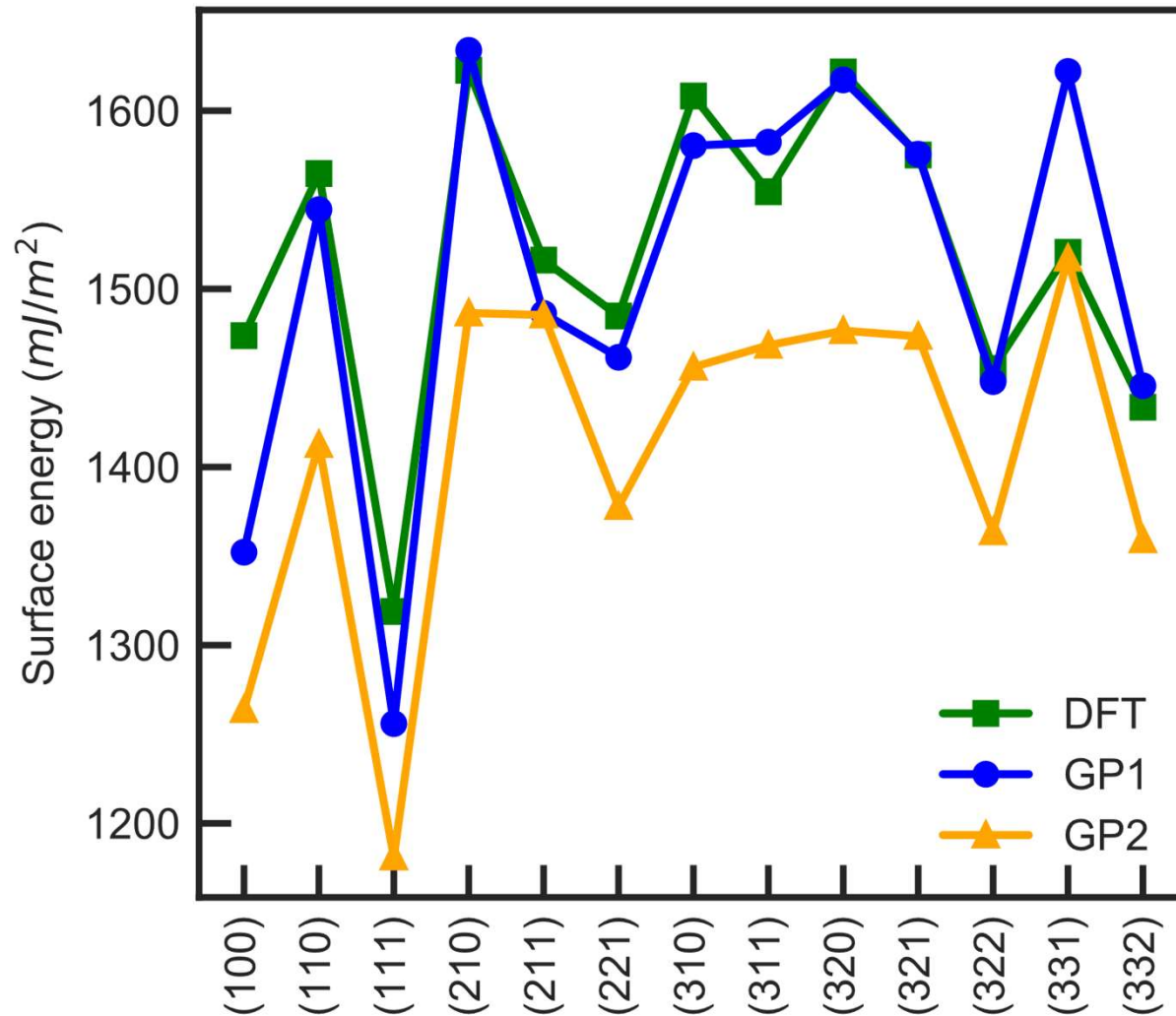
SC: (Sutton and Chen 1990), ABCHM and Cu1: (Mendelev, Kramer et al. 2008), EAM1 and 2: (Mishin, Mehl et al. 2001), Cu2: (Mendelev and King 2013), Adams: (Adams, Foiles et al. 1989). Cuu3: (Becker et al., Current Opinion in Solid State and Materials Science, 2013), CuNi: (Onat and Durukanoglu, JPCM, 2013)

# Training and validation errors are similar



Blue is validation, orange is training. MAE: validation, training

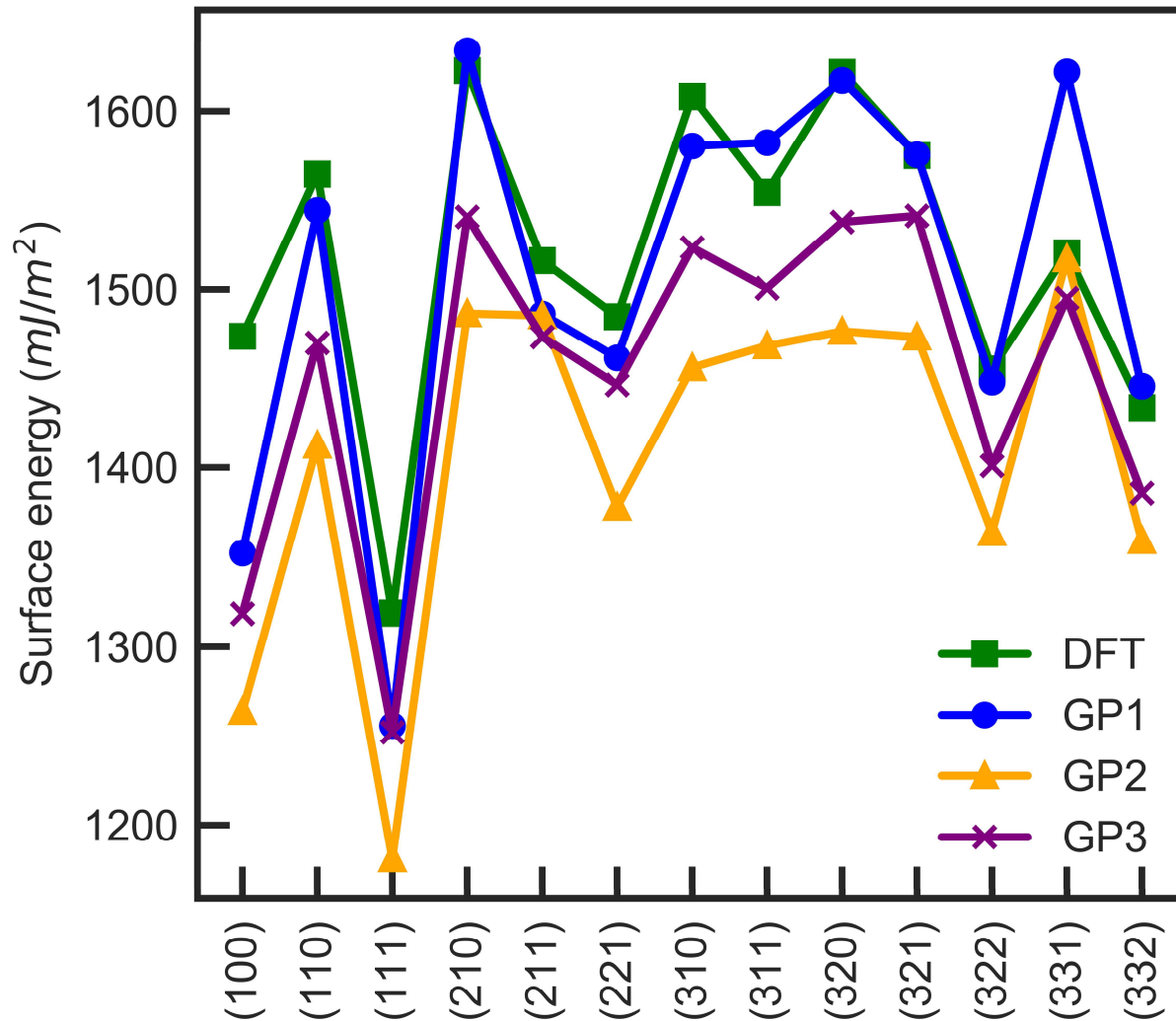
# GP1 and GP2 are transferable



A. Hernandez, A. Balasubramanian, F. Yuan, S. A. M. Mason and T. Mueller  
npj Computational Materials **5**, 112 (2019)



# GP1 and GP2 are transferable



A. Hernandez, A. Balasubramanian, F. Yuan, S. A. M. Mason and T. Mueller  
npj Computational Materials **5**, 112 (2019)

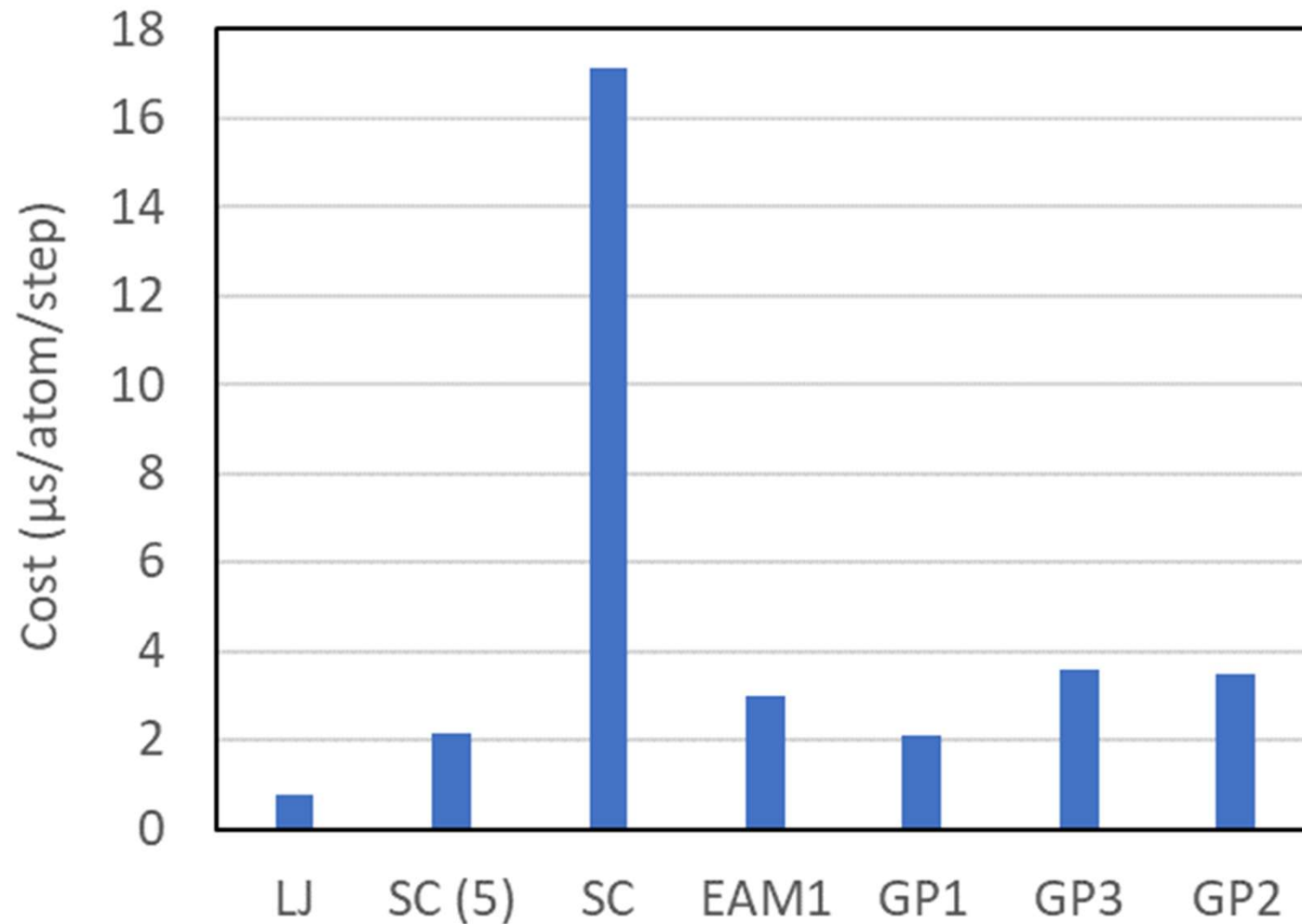
# Low prediction errors

	GP3	ABCHM	CuNi	EAM1	EAM2	Cuu3	Cuu6
bcc lattice constant [Å]	0.2	2.4	0.9				
bcc-fcc formation energy [meV / atom]	12	11	13		2		
hcp-fcc formation energy [meV / atom]	2		4		6		
vacancy migration energy [meV]	49		20			40	20
dumbbell formation energy [meV]	15	250					
phonon frequencies at X [% error]	2.1				4.4		
phonon frequencies at L and K [% error]	2.5		2.6	4.1	5.5		
intrinsic stacking fault energy [mJ / m <sup>2</sup> ]	6		0		9		

 Lowest testing error

 Testing error

# Very fast execution



A. Hernandez, A. Balasubramanian, F. Yuan, S. A. M. Mason and T. Mueller  
npj Computational Materials **5**, 112 (2019)

## **Open source code for potential generation using genetic programming**

<https://gitlab.com/muellergroup/poet>

## **Tools for automatically generating efficient $k$ -point grids**

<http://muellergroup.jhu.edu/K-Points.html>

<https://arxiv.org/abs/1907.13610>

<https://gitlab.com/muellergroup/k-pointGridGenerator>

<https://gitlab.com/muellergroup/kplib>